

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARMMENT OF COMMUNITED STATES PARENT and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450
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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/767.651

01/29/2004

Simarieet S. Saini

0300-004

Steven M. duBois Potomac Patent Group PLLC P.O. Box 855 McLean, VA 22101-0855

**CONFIRMATION NO. 5401 FORMALITIES LETTER** \*OC000000012969629\*

Date Mailed: 06/17/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/22/2004 HALI11

00000002 10767651

01 FC:2051 02 FC:2202 65.00 OP 18.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$18 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$83 for a Small Entity

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$18
  - \$18 for 16 total claims over 20.

Replies should be mailed to: Mail S

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

## A copy of this notice <u>MUST</u> be returned with the reply.

RNSANTOR

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

tion Act of 1995,no persons a

### TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Sub-Assion

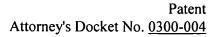
Signature:

are required to respond to a collection of information unless it contains a valid OMB control number			
Application Number	10/767,651		
Filing Date	January 29, 2004		
First Named Inventor	Simarjeet SAINI		
Art Unit	3663		
Examiner Name	Unassigned		
Attorney Docket No.	0300-004		

ENCLOSURES (Check all that apply)						
Fee Transmittal Form	☑ Drawings (Seven Sheets of Drawings, Figs. 1-7)	After Allowance Communication to Technology Center (TC)				
☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s)	☐ Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
	☐ Petition	☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
Express Abandonment Request	Petition to Convert to a Provisional Application	☐ Proprietary Information				
☐ Information Disclosure Statement	Power of Attorney, Revocation Change of Correspondence Address	☐ Status Letter				
☐ Certified Copy of Priority Document(s)	☐ Terminal Disclaimer	Other Enclosures (please identify below):				
Response to Missing Parts/Incomplete Application	Request for Refund	Stamped Return Receipt Postcard				
Response to Missing parts under 27	CD, Number of CD(s)					
	Remarks:					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Steven M. DuBois, Reg. No. 35,023						
Individual Name						
Signature A. du Soci						
Date October 18, 2004						
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the						
United States Postal Service with sufficient postage as first class mail in an envelope addressed to:						
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or Printed Staven M. du	Bais					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date:





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re P	atent Application of	)	
		)	
Simarj	eet SAINI et al.	)	Group Art Unit: 3663
		)	
Applic	eation No.: 10/767,651	)	Examiner: Unassigned
		)	
Filed:	January 29, 2004	)	
		)	
For:	LOW POLARIZATION GAIN	)	
	DEPENDENT SEMICONDUCTOR	)	
	OPTICAL AMPLIFIER WITH	)	
	VARIABLE RESIDUAL CLADDING		
	LAYER THICKNESS		

# **SUBMISSION OF FORMAL DRAWINGS**

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

Attached hereto are seven sheets of formal drawings (Figs. 1-7) for the above-identified application. Please substitute these formal drawings for the informal drawings filed with the application.

By:

Respectfully submitted,

POTOMAC PATENT GROUP PLLC

Steven M. duBois

Registration No. 35,023

Date: October 18, 2004